

Substitute for form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/034,294-Conf. #9749	
			Filing Date	December 21, 2001	
			First Named Inventor	Seabron Adamson	
			Art Unit	3628	
			Examiner Name	J. M. Borlinghaus	
Sheet	1	of	1	Attorney Docket Number	SAZ-00201

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

Examiner Signature		Date Considered	
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
/J.B./	CA	Hogan, W., Contract Networks for Electric Power Transmission, Journal of Regulatory Economics, 4:211-242, 1992.			
	CB	Schweppe et al., Spot Pricing of Electricity, Norwell: Kluwer Academic, 1988.			
	CC	Chao et al., Flow-Based Transmission Rights and Congestion Management, Electricity Journal, Vol. 13, No. 8:38-58, 2000.			
	CD	Chao, H. and Peck, S., A Market Mechanism for Electric Power Transmission, Journal of Regulatory Economics, 10:25-59, 1996.			
	CE	Ruff, L., Flowgates vs. FTRs, and Options vs. Obligations, August 26, 2000.			
	CF	Hogan, W., Flowgate Rights and Wrongs, August 20, 2000.			
	CG	Stoft, S., Congestion Pricing with Fewer Prices Than Zones, Electricity Journal, pp. 23-31, May 1998.			

Examiner Signature	/Jason Borlinghaus/	Date Considered	04/10/2008
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